HIGHLY STEREOSELECTIVE REFORMATSKY REACTIONS OF S-(2.BROMOPROPIONYL)-2.OXAZOLIDONE DERIVATIVES WITH VARIOUS ALDEHYDES¹⁾

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Abstract: The Reformat&y reactions of 3-(2-bromopropionyl)-2-azazolidone derivatives with various aldehydes wem investigated to elucidate the effects of substituents in the 2-oxasolidone moieties on their diastereoselectivities. The highest 2,3syndiastereoselectivity (2,3-syn:2,3 anti=98:2) could be realized at -78% by employing sterically crowded 3-(2 bromopropionyl)-4,4-dibutyl-5,5-pentamethylene-2-oxazolidone. high 2,3-syn-3,4-syn-selectivity (2,3-syn-3,4-syn:2,3-syn-3,4-anti=94:6) was also accomplished by the reaction with dl-2-phenylpropanal, application of this reaction to enantiosekctive synthesis of 2,3-syn-aldols was found to be The observed diastereoselectivities could be accounted for *by the chelating transition state models.*

The Reformatsky reaction has been recognized as one of the most conventional methods for preparing aldols since carbon-carbon single bond can be readily created by mixing α -halocarboxylic acid derivative, aldehyde, and zinc dust in an appropriate solvent.³⁾ Unfortunately, however, it has been difficult to control relative stereochemistry with respect to newly created chiral centers. To overcome this problem, a number of researches have been devoted mainly to kinetically controlled aldol reactions employing the metal enolates produced by deprotonation of carboxylic acid derivatives with strong bases such as lithium diisopropylamide.⁴⁾ Although high 2,3-syn-diastereoselectivities have been realized by the uses of enolates of

special metals such as boron,⁵) tin,⁶) or zirconium⁷) enolate, the aldol reactions with zinc enolates readily obtainable from 2-bromopropionic acid derivatives and zinc dust, have been remained unsettled. $3,4,8$)

Recently, we explored a highly stereoselective synthesis of the 1ß-methylcarbapenem key intermediate by the Reformatsky reaction of 3-(2-bromopropionyl)2-oxazolidone derivatives (1) with a 4-acetoxy-2-azetidinone in the presence of zinc dust.⁹⁾ The diastereoselectivity was found to highly depend upon bulkiness of the substituents at the C4-positions of oxazolidone moieties and reaction temperatures. Thus, the desired β -methyl diastereoselectivity could be best achieved by employing sterically crowded 2-oxazolidone derivatives such as 3-(2-bromopropionyl)-4,4-dimethyl- and 3-(2-bromopropionyl)-4,4-dibutyl-5,5-pentamethylene-2-oxazolidone (1b,c) in refluxing tetrahydrofuran (THF). These novel findings prompted us to apply this reaction to stereoselective aldol synthesis. We have now found that the Reformatsky reaction of sterically crowded 1 with achiral and chiral aldehydes can similarly take place in a highly stereoselective manner, giving *2,3-syn-* and 2,3-syn-3,4-syn-aldols as major products, respectively.1)

This report concerns with full details of exploration of this highly diastereoselective Reformatsky reaction of sterically crowded **1 with** various aldehydes.

The Reformatsky reaction of 3-(2-bromopropionyl)-2-oxazolidone derivatives (1) with achiral **aldehydes** (3~5).

Aiming to elucidate the 2,3-diastereoselectivity of aldol formation, the Reformatsky reactions of benzaldehyde (3) with various zinc enolates (2) prepared from **110)** and zinc dust were first studied.

As shown in **Table 1,** the 2,3-diastereoselectivities of the aldols (6 and 7) were found to highly depend upon steric bulkiness at the $C₄$ -positions of 2-oxazolidone moieties in a similar manner to that previously reported for the reactions with a 4-acetoxy-2-azetidinone.⁹⁾ Thus, the reaction of 3 with 2c produced from the most sterically crowded 1c exclusively gave the 2,3syn-aldol (6c) $[2,3\text{-sym (6c)}:2,3\text{-anti (7c)}=98:2]$ in 98% yield at -78°C in THF (run 5). On the other hand, the 2,3-syn-diastereoselectivity slightly decreased when 2b was employed (run 3) and the opposite 2,3-anti-diastereoselectivity was observed for 2a derived from sterically less crowded **1a** (run 1).¹¹⁾ High 2,3-syn-diastereoselectivity was also realized by the reaction of 2c with other achiral aldehydes (4 and 5) (runs 6~8). Interestingly, the decrease of 2,3-syndiastereoselectivity occurred at higher reaction temperatures contrary to the previous results (runs 2, 3 and 4, 5). Stereochemistries of the Reformatsky products (6-11) were assigned by the coupling constants between C2-H and C3-H of carboxyl groups observed in their 1 H-NMR spectra.¹¹⁾ It is well known that the coupling constant between C2-H and C3-H of 2,3-syndiastereomer is smaller than that of 2,3-anti-diastereomer due to the intramolecular hydrogen bonding which constructs a rigid six-membered ring.¹²⁾ Conversions of some 2,3syn-aldols obtained as the major products (6b,c, 8c, and 10c) to the corresponding known carboxylic acids (for 6b and $10c$)¹³⁾ or its methyl esters (for 6c and $8c$)^{14,15)} further supported the assigned stereochemistries (see the experimental part).

			Conditions		Products		$J_{2,3}$ ^{a)}	
Run	1.	Aldehyde	Temp	Time	Yield ^{b)}	Ratio	syn	anti
			$(^{\circ}C)$	(h)	(%)	syn:anti		(Hz) (Hz)
1	a	3	-78	20	$81(6a+7a)$	38:62	3.8	8.3
$\mathbf{2}$	ь	3	0	1	$96(6b+7b)$	90:10	4.4	6.1
3	b	3	-78	1	$99(6b+7b)$	96:4		
4	$\mathbf c$	3	67c	3	$76(6c+7c)$	80:20	4.9	6.5
5	$\mathbf c$	3	-78	$\mathbf{1}$	$98(6c+7c)$	98:2		
6	$\mathbf c$	4	-78	0.5	$75(8c+9c)$	89:11	2.9	6.8
7	$\mathbf c$	5	25		0.17 96 (10c+11c)	85:15	3.1	7.0
8	c	5	-78		$95(10c+11c)$	95:5		

Table 1. The Reformatsky reaction of 3-(2-bromopropionyl)-2-oxazolidone derivatives (1) with achiral aldehydes (3-6).

a) Coupling constant between C2-H and C3-H of carboxyl group in the 1 H-NMR spectrum of product.

b) Number in parentheses corresponds to the compound number of product.

c) The reaction was performed in refluxing THF.

The Reformatsky reaction of 3-(2-bromopropionyl)-4,4-dibutyl-5,5-pentamethylene-2-oxazolidone (1c) with dl -2-phenylpropanal (12).

Since it was established that $3-5$ can produce the 2,3-syn-aldols (6, 8, and 10) exclusively when being allowed to react with sterically crowded 2b,c at -78°C, the Reformatsky reaction with the aldehyde having an asymmetric center at the a-position was next attempted.

The reaction of 2c with dl -2-phenylpropanal (12) took place smoothly at -78°C in THF,

giving a mixture of the aldols (13) in 81% yield. Aiming to determine the structures and formation ratio of the diastereomers involved in 13, the mixture was subjected to methanolysis using sodium methoxide in methanol, affording a mixture of the corresponding methyl esters in 87% yield. The 1 H-NMR spectrum (400 MHz) of this sample clearly revealed that the methanolysis product only consisted of two sorts of the methyl esters in a ratio of 94:6. By comparing the ¹H-NMR spectrum with those reported, 16 the structures of these two methyl esters were rigorously established as 14 and 16 both of which had 2,3-syn-stereochemistries.

The Reformatsky reaction of optically active (4S)-3-(2-bromopropionyl)-4-isopropyl-2-oxazolidone (1d) with benzaldehyde (3).

Finally, the reaction of 3 with the chiral zinc enolate (2d) produced from Id was examined to elucidate applicability of the developed reaction to enantioselective synthesis of chiral aldols.

When 3 was treated with 2d at -78°C for 20 h in THF, a mixture of the diastereomeric aldols (16) was obtained in 93% yield. Since the stereochemistries and ratio of the four possible diastereomers could not be determined at this stage, 16 was subjected to methanolysis conditions, giving the optically active $2.3\text{-}syn$ - and $2.3\text{-}anti\text{-}methyl$ esters (17 and 18) in 42% and 39% yields, respectively. Optical yields of 17 and 18 could be calculated as 42% and 100%, respectively, by comparing their optical rotations with those reported.^{17,18} Based on these results, the formation ratio of the mixture of 17 and its (2S,3S)-isomer to that of 18 and its $(2S,3R)$ -isomer were readily determined as 52:48. The ratios of 17 to its $(2S,3S)$ -isomer and 18 to its (2S,3R)-isomer could be also estimated as 71:29 and 100:0, respectively. Although the $2,3\text{-}anti\text{-}aldol(18)$ can be obtained with a high optical purity, the enantioselective synthesis of optically active aldols employing the Reformatsky reaction seems to lack practicality because almost the same amounts of the *2,3-syn-* and 2,3-anti-aldol are produced.

Mechanistic consideration of the Reformatsky reaction.

In order to elucidate the mechanism of the Reformatsky reaction, the stereochemistry of the zinc enolate was first studied by means of the 400 MHz 1 H-NMR spectra of 2a,b prepared

from **la,b** and zinc dust in ds-THF. While the ¹H-NMR spectra of **2a,b** showed broad signals probably due to aggregation, the signals corresponding to the silyl enol ethers (19a,b) appeared on addition of trimethylsilyl chloride to the *mixtures in* ds-THI?. The stereochemistries of 19a,b were assumed to be (Z)-forms since the conformation A leading to 19a,b is obviously more favored than the conformation **B** producing the (E)-isomers of 19a,b when the 1,3-allylic strain is taken into consideration. The same explanation has already been proposed by Evans for preferential formation of Q-enolate by deprotonation of a 3-propionyl-2 oxazolidone derivative with lithium diisopropylamide.¹⁹⁾ Taking into account the sensitivity of 400 MHz ¹H-NMR spectrum, the ratios of 19a,b to their (E) -isomers were assumed to be more than 97:3. Based on these results, it was also anticipated that the other zinc enolates $(2c,d)$ have the same (Z) -configurations.

The diastereoeelectivities of the Reformatsky reactions may be explained by the chelating transition state models **(C** and **D)** which afford *2,3-syn-* and *2,3-anti-dials,* respectively. In C and **D,** aldehyde derivatives having two lone pairs available for chelation at the oxygen atom can interact with the (Z)-zinc enolates (2) only in chair forms. On the other hand, two types of chelating transition state models corresponding to a chair and a boat form, respectively, have been proposed for the Reformatsky reaction with a 4-acetoxy-2-azetizinone since a 1,4 dehydro-2-azetidinone *in situ* produced from a 4-acetoxy-2-azetidinone carry8 single lone pair which can participate in chelation.⁹⁾

Thus, the low 2,3-anti-diastereoselectivity observed for the reaction of 2a with 3 at -78 °C

(Table 1, run 1) is rationalized by assuming that the transition state **D** is slightly more favored than C since the phenyl group of 3 may interact with the methyl group of enolate portion more severely than with the C_4 -hydrogens of 2-oxazolidone moiety. On the other hand, considering the steric interaction between the substituent of aldehyde and the C4-alkyl groups $(R¹$ and $R²$) of 2-oxazolidone moiety, the transition state C readily accounts for the high *2,3-syn*diastereoeelectivity observed for the reactions of sterically crowded **2b,c** with achiral and chiral aldehydes (3-S and 12) at -78% (For 3-5, **Table 1, runs 3, 5, 6,** and 8). The high **3,4** syn-diastereoselectivity observed in the reaction of 2c with 12 is explained presumably by the Felkin-Anh model.²⁰⁾

Increases of the reaction temperatures' obviously decrease the 2,3-syn-diastereoselectivities **(Table 1, runs 2,3; 4,5;** and 7,8). Similarly to the Reformatsky reaction with a 4 acetoxy-2-azetidinone,⁹⁾ these results may be explained by loosening of the intermolecular chelation between the zinc (II) cation and the oxygen atom of aldehyde group. Since the substituent of aldehyde is present in the proximity of the C4-alkyl groups $(R¹$ and $R²$) of the 2oxazolidone moiety in D, steric interaction should be released more effectively in D than in C by the weakening of intermolecular chelation. Accordingly, the proportions of the 2,3-anti diols (7,9, and **11)** may be enhanced by the increased participation of **D** at higher reaction temperatures (runs 2, 4, and 7).

The following explanation may be further proposed to interpret the results of enantioselective synthesis with 2d examined at -78°C. The 2,3-anti-aldol solely consisting of $(2R, 3S)$ -16 is produced only through the transition state D_2 where the phenyl group of 3 interacts with the C₄-hydrogen. On the other hand, formation of the 2,3-syn-aldol occurs not only through the transition state C_2 but also C_1 since the steric interaction between hydrogen and isopropyl group may not be so severe. That the ratio of $(2R,3R)$ -16 to its $(2S,3S)$ -isomer is 71:29 clearly shows that **C2** is more favored than CL

As described above, it appeared evident that the Reformatsky reactions of sterically crowded lb,c with achiral and chiral aldehydes in the presence of zinc dust can produce 2,3 *syn-* and 2,3-syn-3,4-syn-aldols as major products, respectively. It is worth noting that, being different from the previous results obtained using a 4-acetoxy-2-azetidinone,⁹⁾ the diastereoselectivities observed in the aldol formations can be reasonably rationalized by the chelating transition state models consisting of chair forms.

Experimental

General. All melting points were measured by a Yamato MP-21 melting point apparatus and were uncorrected. Measurements of optical rotations were performed with a Horiba SEPA-200 automatic digital polarimeter. IR spectral measurements were carried out with a JASCO A-202 diffraction grating infrared spectrometer. 'H-NMR spectra *were* measured with a Hitachi R-90H (90 MHz) and a Bruker AM-400 spectrometer (400 MHz). All signals were expressed as ppm down field from tetramethylsilane used as an internal standard (6 value). Mass spectra were taken with a Hitachi RMU-6MG mass spectrometer. Wakogel C-200 and C-300 were used as an adsorbent for column chromatography. Kieselgel 6OF264 (Merck) was used for preparative TLC.

3-[(2R*,3R*)-3-Hydroxy-2-methyl-3-phenylpropionyl]-2-oxazolidone (6a) and its (2R*,3S*)-iso*mer* **(?a) (Table 1, run** 1). Zinc dust (18.3 mg, 0.28 mmol) was added to a solution of **lal")** *(52.2* mg, 0.24 mmol) in THF $(0.94$ ml). The mixture was vigorously stirred at rt for $2h$, giving a grayish suspension of the corresponding zinc enolate. After cooling to -78°C, 3 (29.8 mg, 0.28) mmol) was added to the mixture and the stirring was continued for 20h at the same temperature. Aqueous phosphate buffer (pH 7, 1.0 ml) was added to quench the reaction. The mixture was allowed to warm up to rt and extracted with AcOEt. The combined extracts were dried over anhydrous MgS04 and concentrated *in vacua. The* concentration residue was purified by column chromatography (SiO2, hexane-CH2Cl2-AcOEt 7:1:2~7:0:3), affording **6a** as colorless crystals (18.1 mg, 31%) from the less polar fraction and **7a as colorless crystah** (29.1 mg, *50%) from the* more polar fraction. The combined yield of **6a** and **7a** was 81%. The ratio of **6a** to **7a** could be calculated as 38:62 by the weights of the separated samples. The minor product **(6a)** recrystallized from hexane-AcOEt showed mp 105-106°C. IR (KBr): 3530, 3000,1765,1693,1480,1450,1392,1368,1250,1230,1210,1130,1090, 1039,983,944,862,774,757, 723, 708, 660, 553, 510 cm⁻¹. ¹H-NMR (CDCls): 1.14 (3H, d, J=6.8 Hz, Me), 3.04 (1H, d, J=2.5 Hz, OH), 4.07 (lH, dq, J=3.8,6.8 Hz, CHCON), 3.8-4.5 (4H, m, CH2x21, 5.11 UH, dd, J=2.5,3.8 Hz, CHOH), 7.2~7.4 (5H, m, Ph). MS m/z: 249 (M)+, 162, 161, 143. Found: C, 62.36; H, 6.22; N, 5.52%. CaIcd for C~Hi5N04: C, 62.64; H, 6.07; N, 5.62%. The major product **(7a) recrys**tallized from hexane-AcOEt showed mp $107-107.5$ °C. IR (KBr): 3580, 3000, 1763, 1681, 1481, 1450,1390,1365,1250,1230,1210,1185,1168,1125,1090,1034,1002,988, 940,860,773,756,723, 705,697,660, 508 cm-l. lH-NMR (CDC13): 1.04 (3H, d, J=6.8 Hz, Me), 2.91 (lH, d, J=5.9 Hz, OH), 3.9~4.5 (5H, m, CHCON, CH2x2), 4.78 (1H, dd, J=5.9, 8.3 Hz, CHOH), 7.2~7.4 (5H, m, Ph). MS m/z: 249 (M)+, 143. Found: C, 62.54; H, 6.17; N, 5.53%. Calcd for C13H15NO4: C, 62.64; H, 6.07; N, 5.62%. The structures of **6a** and **7a** were assigned based on the coupling constants between C2-H and C3-H of carboxylic acid moieties observed in their ${}^{1}H$ -NMR spectra [J_{2,3}=3.8 Hz (6a) and 8.3 Hz (7a)] (see also ref. 11).

4,4-Dimethyl-3-[~2R,3R*~-3-hydroxy-2-methyl-3-phenylpropionyll-2-oxazolidone* **(6b)** *and its (2R*,3S*)-isomer (7b).* a) The Reformatsky reaction of **lb with 3** at 0°C **(Table 1, run 2): Zinc** dust (0.146 g, 2.2 mmol) was added to a solution of 1b¹⁰⁾ (0.430 g, 1.7 mmol). The mixture was vigorously stirred at rt for 0.5 h, then cooled to 0° C. Benzaldehyde (3) (0.196 ml, 1.9 mmol) was added to the mixture and the stirring was continued for 1 h at the same temperature. Aqueous phosphate buffer (pH 7,3.0 ml) was added to quench the reaction. The mixture was allowed to warm up to rt and extracted with CH2Cl2. The combined extracts were dried over anhydrous MgS04 and concentrated *in vacua.* The concentration residue was purified by column chromatography (SiOa, hexane-AcOEt 4:1), affording **6b** as a colorless oil (0.414 g, 86%) from the less polar fraction and **7b** as colorless crystals (50.1 mg, 10%) from the more polar fraction. The combined yield of **6b** and '7h was 96%. The ratio of **6b to 7b could be calcu**lated as 90:10 by the ¹H-NMR spectrum of the mixture. The C3-methine protons of carboxylic

moieties of **6b** and 7h appeared as two doublets at 5.04 and 4.76 ppm with an integration ratio of 9O:lO. The major product (6b) obtained as a colorless oil showed the following spectral data. IR (neat): 3520,3000,1779,1705,1452,1380,1309,1222,1180,1098,1033,942,770, 703,542 cm⁻¹. ¹H-NMR (CDCl3): 1.14 (3H, d, J=6.7 Hz, <u>Me</u>CH), 1.39, 1.53 (6H, each s, Me2C), 3.11 (1H, br, OH), 3.86, 3.97 (2H, each d, J=each 8.6 Hz, CH2), 4.09 (lH, dq, J=4.4,7.0 **Hz, CHCON),** 5.04 (1H, d, J=4.4 Hz, CHOH), 7.1~7.6 (5H, m, Ph). MS m/z: 277 (M)⁺, 260 (M-OH)⁺, 171. The minor product (7b) recrystallized from hexane-AcOEt showed mp 109~110°C. IR (KBr): 3490, 3000,1790,1704,1693,1455,1380,1310,1248,1220,1180,1103,1057, 1035,1020,940,768,710,610, 580, 540, 512 cm⁻¹. ¹H-NMR (CDCl₃): 1.06 (3H, d, J=6.8 Hz, <u>Me</u>CH), 1.46, 1.55 (6H, each s, MeGI, 3.26 (lH, br, OH), 3.95 (2H, s, CHz), 4.16 UH, dq, J-6.1,6.8 Hz, **CHCON),** 4.76 (lH, d, J=6.1 Hz, CHOH), 7.2~7.4 (5H, m, Ph). MS m/z: 277 (M)+, 171. Found: C, 64.91; H, 6.97; N, 5.03%. Calcd for C15H19NO4: C, 64.97; H, 6.97; N, 5.03%. The structures of 6b and 7b were assigned based on the coupling constants between C2-H and C3-H of carboxylic acid moieties observed in their 1H NMR spectra [J2,3=4.4 Hz **(6b)** and 6.1 Hz (7bll. The major isomer **(6b)** was saponified under the same conditions as described for 10c (vide infra), affording $(2R^*, 3R^*)$ -3-hydroxy-2-methyl-3-phenylpropionic acid in 43% yield. ¹H-NMR (CDCls): 1.14 (3H, d, J=7.3 Hz, <u>MeCH), 2.84 (1H, dq, J=4.2, 7.3 Hz, MeCH), 5.17 (1H, d, J=4.2 Hz, CHOH)</u>, 6.55 (2H, br, OH and COOH), 7.33 (5H, m, Ph). This 1H-NMR spectrum was identical with those reported.13)

b) The Reformatsky reaction of **lb** with 3 at -78'C **(Table 1, runs 3).** The zinc enolate produced from **lb (73.9 mg, 0.30 mmol)** under the same conditions as described in a) was allowed to react with 3 (35.9 µg, 0.35 mmol) at -78°C for 1h. Treatments of the reaction mixture in a similar manner to that described in a) afforded a mixture of **6b** and **7b** (80.7 mg, 99%). The ratio of 6b to 7b could be similarly calculated as $96:4$ by the $1H\text{-NMR}$ spectrum of the mixture measured in CDC13. The Ca-methine proton of carboxylic acid moieties appeared as two doublets at 5.04 and 4.76 ppm with an integration ratio of 96:4. The spectral data of **6b and 7b** separated by column chromatography were identical with those described in a).

4,4-Dibutyl-3-[(2R*,3R*)-3-hydroxy-2-methyl-3-phenylpropionyl]-5,5-pentamethylene-2-

oxazolidone (6~) and its (2R,3S*)-isomer (7~).* a) The Reformatsky reaction of **lc with 3** at 67° C (**Table 1**, run 4). Zinc dust $(14.5 \text{ mg}, 0.23 \text{ mmol})$ and $3(20.6 \mu l, 0.20 \text{ mmol})$ were added to a solution of $1c^{10}$ (74.5 mg, 0.19 mmol) in THF (0.74 ml). The mixture was vigorously stirred under reflux for 3 h and the stirring was continued overnight at rt. The usual work-up followed by purification with column chromatography $(SiOz, Hex-ACOE 9:1)$ gave 6c as colorless crystals $(48.8 \text{ mg}, 61\%)$ from the less polar fraction and 7c as a colorless oil $(12.1 \text{ mg},$ 15%) from the more polar fraction. The combined yield of 6c and 7c was 76%. The ratio of 6c to 7c could be calculated as 80:20 by the weights of the separated samples. The major product (6c) recrystallized from hexane showed mp 113~114°C. IR (KBr): 3500, 2950, 2890, 1742, 1703, 1450, 1380, 1360, 1300, 1280, 1260, 1242, 1203, 1180, 1118, 1046, 958, 912, 778, 734, 542 cm⁻¹. ¹H-NMR (CDCl3): 1.21 (3H, d, J=6.8 Hz, MeCH), 0.7~2.2 (28H, m, other protons), 2.88 (1H, d, J=3.0 Hz, OH), 4.11 (1H, dq, J=4.9, 6.8 Hz, CHCON), 4.97 (1H, dd, J=3.0, 4.9 Hz, CHOH), 7.2~7.5 (5H, m, Ph). MS m/z: 429 (MI+, 323, 294. Found: C, 72.80; H, 9.26; N, 3.19%. Calcd for

C26H39NO4: C, 72.69; H, 9.26; N, 3.19%. The minor product $(7c)$ showed the following spectrum data. ¹H-NMR (CDCls): 1.19 (3H, d, J=6.6 Hz, MeCH), 0.8~2.2 (28H, m, other protons), 3.49 (1H, d, J=8.0 Hz, OH), 4.14 (1H, dq, J=6.5, 6.6 Hz, CHCON), 4.75 (1H, dd, J=6.5, 8.0 Hz, CHOH), 7.2-7.4 (5H, m, Ph). The structures of 6c and 7c were assigned based on the coupling constants between C2-H and Cs-H of carboxylic acid moieties observed in their lH-NMR spectra [J2,3=4.9 Hz (6c) and 6.5 Hz (7c)]. The major isomer (6c) was converted to methyl $(2R^*, 3R^*)$ -3-hydroxy-2-methyl-3-phenylpropionate in 70% yield according to a similar procedure to that described for methanolysis of 16 (vide infra). ¹H-NMR (CDCls): 1.13 (3H, d, $J=7.3$ Hz, MeCH), 2.79 (1H, dq, $J=4.2$, 7.3 Hz, MeCH), 2.92 (1H, d, $J=3.5$ Hz, OH), 3.66 (3H, s, MeO), 5.09 (1H, dd, J=3.5, 4.2 Hz, CHOH), 7.32 (5H, m, Ph). This ¹H-NMR spectrum was identical with that reported for methyl $(2R^*, 3R^*)$ -3-hydroxy-2-methyl-3-phenylpropionate $(17).^{14}$

b) The Reformatsky reaction of 1c with 3 at -78°C (Table 1, run 5). The zinc enolate produced from lc (84.9 mg, 0.21 mmol) under the same conditions as described in a) was allowed to react with 3 (25.6 ug, 0.25 mmol) at -78°C for lh. Treatments of the reaction mixture in a similar manner to that described in a) afforded a mixture of 6c and 7c (88.7 mg, 98%) after purification by column chromatography. The ratio of 6c to 7c could be calculated as 98:2 by the 1H-NMR spectrum of the mixture measured in CDCls. The Cs-methine protons of carboxylic acid moieties of 6c and 7c appeared as two doublets at 4.97 and 4.75 ppm with an integration ratio of 98:2. The spectral data of 6c and 7c separated by column chromatography were identical with those described in a).

4,4-Dibutyl-3-[(2R,3S*)-3-hydrozy-2-methylvaleryll-5,5-penta~thyle~-2-ox~olidone (8~) and its (2R*,3R*)-isomer (9c).* The Reformatsky reaction of lc with 4 at -78°C (Table 1, run 6). The zinc enolate produced from lc (43.7 mg, 0.11 mmol) under the same conditions as described for the preparation of a mixture of 6c and 7c, was allowed to react 4 (10.4 μ g, 0.11 mmol) at -78°C for 0.5 h. The usual work-up afforded a mixture of 8c and 9c after concentration of the organic extracts *in vacua.* Separation of the mixture by preparative TLC (SiOz, hexane-AcCEt 4:l) gave 8c as a colorless oil (27.5 mg, 67 %) from the more polar fraction and **9c** as a colorless oil $(3.5 \text{ mg}, 8\%)$ from the less polar fraction. The combined yield of 8c and 9c was 75%. The ratio of 8c to 9c could be calculated as 8911 by the weights of the separated samples. The major product $(8c)$ showed the following spectral data. IR (neat): 3540, 2950, 2890,1770,1702,1450,1378,1359,1290,1278,1239,1209,1184,1116,962, 932,772 cm-l. lH-NMR (CDCl3): 1.17 (3H, d, J=6.8 Hz, MeCH), $0.8-2.3$ (33H, m, other protons), 2.77 (1H, br, OH), 3.86 (1H, dq, J=2.9, 6.8 Hz, CHCON), 3.88 (1H, m, CHOH). MS m/z: 382 (M+1)⁺, 363 (M-H₂O)⁺, 352 (M-Et)⁺, 334, 324. The minor product (9c) showed the following spectral data. ¹H-NMR (CDCl3): 1.20 (3H, d, J=6.6 Hz, MeCH), 0.7~2.3 (33H, m, other protons), 2.75 (1H, d, J=8.4 Hz, OH), 3.6 (1H, m, CHOH), 3.78 (1H, dq, 6.6, 6.8 Hz, CHCON). MS m/z: 381 (M)+, 366 (M-Me)⁺, 363 (M-H2O)⁺, 352 (M-Et)⁺, 348. The structures of 8c and 9c were assigned based on the coupling constants between C_2 -H and C_3 -H of carboxylic acid moieties observed in their ¹H-NMR spectra $[J_2, s=2.9 \text{ Hz } (8c) \text{ and } 6.8 \text{ Hz } (9c)]$. The major isomer $(8c)$ was converted to methyl $(2R^*, 3S^*)$ -3-hydroxy-2-methylvalerate in 49% yield according to a similar procedure to

that described for methanolysis of 16 (vide infra). ¹H-NMR (CDCls): 0.97 (3H, t, J=6.6 Hz, $MeCH₂$), 1.18 (3H, d, J=7.3 Hz, $MeCH$), 1.45 (2H, m, CH₂), 2.43 (1H, br, OH), 2.56 (1H, dq,</u></u> $J=3.7, 7.3$ Hz, Me CH), 3.70 (3H, s, MeO), 3.78 (1H, m, CHOH). This ¹H-NMR spectrum was identical with that reported for methyl $(2R,3S)$ -3-hydroxy-2-methylvalerate.¹⁵⁾

4,4-Dibutyl-3-C~2R,3S*)-2,4-dimethyl-3-hyd~xyvale~ll-5,5-~nta~thyie~-2~~oli~~* $(10c)$ *and its* $(2R^*, 3R^*)$ *-isomer* $(11c)$. a) The Reformatsky reaction of 1c with 5 at 25°C (Table 1, run 7). Zinc dust $(34.7 \text{ mg}, 0.53 \text{ mmol})$ was added to a solution of 1c $(0.142 \text{ g}, 0.35 \text{ mmol})$ in THF (1.4 ml). After the mixture was vigorously stirred at rt for 1 h, 5 (38.5 μ l, 0.42 mmol) was added and the stirring was continued at 25'C for additional 1 h. The usual work-up followed by purification with column chromatography (SiO2, hexane-AcOEt 97:3) afforded 10c as a colorless oil (0.114 g, 81%) from the more polar fraction and llc as a colorless oil (20.6 mg, 15%) from the less polar fraction. The combined yield of 10c and 11c was 96%. The ratio of 10c to 11c could be calculated as 85:15 by the weights of the separated samples. The major product (10c) showed the following spectral data. IR (neat): 3550, 2980, 2900, 1770, 1701, 1450, 1379,1360,1296,1278,1238,1182,1118,982,960,913,862,772,738,644 cm-l. IH-NMR (CDCIa): 0.90 (6H, m, $MeCH2x2$), 0.91, 1.02 (6H, each d, J=6.6 and 6.4 Hz, $Me2CH$), 1.17 (3H, d, J=7.0</u></u> Hz, MeCH), $1.0-2.3$ (23H, m, other protons), 2.77 (1H, d, J=3.5 Hz, OH), 3.51 (1H, ddd, J=3.1, 3.5, 8.1 Hz, CHOH), 4.02 (1H, dq, J=3.1, 7.0 Hz, CHCON). MS m/z: 396 (M+1)+, 352 (M-Pr)+, $324,294.$ The minor product (11c) showed the following spectral data. IR (neat): 3530, 2950, 2900, 1770, 1710, 1450, 1380, 1360, 1280, 1240, 1208, 1183, 1116, 1000, 960, 908, 863, 773, 617 cm⁻¹. ¹H-NMR (CDCl3): 0.90 (6H, m, <u>Me</u>CH2x2), 0.94, 0.98 (6H, each d, J=6.6, 6.8 Hz, <u>Me2</u>CH), 1.19 (3H, d, J=6.8 Hz, <u>Me</u>CH), 1.0~2.3 (23H, m, other protons), 2.86 (1H, d, J=9.4 Hz, OH), 3.41 (1H, ddd, J=7.0, 7.3, 9.4 Hz, CHOH), 3.89 (1H, dq, J=6.8, 7.0 Hz, CHCON). MS m/z: 395 (M)+, 378 $(M-OH)^+$, 352 $(M-Pr)^+$, 334. The structures of 10c and 11c were determined based on the coupling constants between C2-H and C3-H of carboxylic acid moieties observed in their 1 H-NMR spectra $[J2,3=3.1 \text{ Hz}$ (10c) and 7.0 Hz (11c). The major aldol product (10c) was converted to $(2R^*, 3S^*)$ -3-hydroxy-2,4-dimethylvaleric acid according to the following procedure. A solution of 2M NaOH (0.70 ml, 1.4 mmol) was added to a solution of 10 \mathbf{c} (91.9 mg, 0.23 mmol) in tbutanol (1.4 ml) at rt. After stirring for 2 days, the mixture was diluted with H₂O (1.0 ml) and hexane. The organic layer was separated and the aqueous layer was acidified with 1M HCl (3.0 ml), saturated with NaCl, then extracted with CH2C12. The combined extracts were dried over anhydrous MgS04 and concentrated *in vacua,* giving (2R*,3S*)-2,4-dimethyl-3 hydroxyvaleric acid as a colorless oil (24.5 mg, 72%). IR (neat): 3450, 2970, 1710, 1460, 1385, 1210, 1130, 1105, 1078, 1042, 997, 980, 945 cm⁻¹. ¹H-NMR (CDCl3): 0.89, 1.02 (6H, each d, J=6.6 and 6.4 Hz, Me2CH) 1.21 (3H, d, J=7.0 Hz, MeCH), 1.70 (1H, m, Me2CH), 2.71 (1H, dq, J=3.7, 7.3 Hz, CHCOO), 3.64 (lH, dd, J=3.7, 7.9 Hz, CHOH), 6.47 (2H, br, COOH and OH). MS m/z: 128 (M-H₂O)⁺, 113, 103, 85. The ¹H-NMR spectrum of this sample was identical with those reported.13)

b) The Reformatsky reaction of lc with *5* at *-78°C* (Table 1, **run** 8). The zinc enolate produced from 1 c (68.0 mg, 0.17 mmol) under the same conditions as described in a) was allowed to react with 5 (18.4 ml, 0.20 mmol) at -78'C for lh. Treatments of the reaction mixture in a

similar manner to that described in a) afforded a mixture of $10c$ and $11c$ (63.2 mg, 95%). The ratio of 10c to 11c could be calculated as 95:5 by the $1H\text{-NMR}$ spectrum of the mixture measured in CDCla. The hydroxy protons of 1Oc and llc appeared as two doublets at 2.77 and 2.86 ppm with an integration ratio of 955. The physical and spectral data of 1Oc and llc separated by column chromatography were identical with those described in a).

4,4-Dibutyl-3-(3-hyd~xy-2-methyl-4-phenylvaleryl)-5,5-pentamethylene-2-oxazolidone (13). Zinc dust (29.8 mg, *0.46 mmol)* was added to a solution of lc (0.122 g, 0.30 mmol) in THF **(1.2 ml)** and the mixture was vigorously stirred at rt for 1 h. After cooling to -78"C, 12 (44 pl, 0.33 mmol) was added to the cooled mixture and the stirring was continued for 1 h at the same temperature. After the usual work-up, the residue obtained by concentration of the organic extracts *in uacuo* was purified by column chromatography (SiOa, hexane-AcOEt **19:l) to** *give* 13 as a colorless oil (0.113 g, 81%). This aldol product (13) was found to consist of a mixture of two diastereomers by converting it to a mixture of the corresponding methyl esters (14 and 15). IR (neat): 3530,2950,2870,1770,1698,1497,1450,1376,1358,1284,1275,1235, 1182,1112, 970, 930, 769, 734, 700, 540 cm^{-1} . The ¹H-NMR spectrum of the major aldol could be only assigned as follows. ¹H-NMR (CDCls): 0.91 (6H, m, $MeCH2x2$), 1.16 (3H, d, J=6.8 Hz,</u> MeCHCON), 1.37 (3H, d, J=7.0 Hz, MeCHPh), 1.0~2.3 (28H, m, other protons), 2.82 (1H, dq, J=6.8, 8.2 Hz, mph), 2.86 (lH, d, J=3.3, OH), 3.67 (lH, dq, 3.3, 7.0 Hz, CHCON), 4.02 (lH, dt, J=3.3, 8.2 Hz, CHOH), 7.1~7.5 (5H, m, Ph). MS m/z: 440 (M-OH)+, 439 (M-H2O)+, 352, 334.

Methyl (2R,35*,4R*)-3-hydroxy-2-methyl-4-phenylvalerate* (14) *and its (2S*,3R*,4R*)-isomer (15).* A solution of 2M sodium methoxide in MeOH (0.34 ml, 0.68 mmol) was added to an ice cooled methanolic solution of 13 (0.155 g, 0.34 mmol). After stirring for lh, an additional amount of the sodium methoxide solution (0.17 ml, 0.34 mmol) was added to the reaction mixture and the stirring was further continued overnight. The resulting mixture was diluted with 1M HCl (1.0 ml) and extracted with CH2Cl2. The combined extracts were dried over anhydrous MgS04 and concentrated *in uacuo. The* concentration residue was purified by column chromatography (SiO2, hexane-Et2O 9:1), affording a mixture of 14 and 15 as a colorless oil (65.5 mg, 87%). Comparison of the ¹H-NMR spectrum with those reported,¹⁶⁾ obviously revealed that the product consisted of 14 and 15 having *(2R*,3S*,4R*)-* and $(2S^*, 3R^*, 4R^*)$ -configurations, respectively. The ratio of 14 to 15 could be calculated as 94:6 by an integration ratio of two sets of signals observed in the 1H-NMR spectrum. IR (neat): 3500, 2970, 1732, 1500, 1454, 1436, 1202, 1078, 1040, 1015, 990, 972, 938, 762, 704, 543 cm⁻¹. ¹H-NMR (CCl4) (400 MHz) (2R*,3S*,4R*)-Isomer (14): 1.11 (3H, d, J=7.2 Hz, MeCHCOO), 1.33 (3H, d, J=6.9 Hz, <u>Me</u>CHPh), 2.18 (1H, dq, J=2.9, 7.2 Hz, <u>CH</u>COO), 2.59 (1H, d, J=3.1 Hz, OH), 2.70 (1H, dq, J=6.8, 9.1 Hz, CHPh), 3.61 (3H, s, MeO), 3.96 (1H, ddd, J=2.9, 3.1, 9.1 Hz, CHOH), 7.09~7.26 $(5H, m, Ph)$. $(2S^*, 3R^*, 4R^*)$ -isomer (15): 1.17 (3H, d, J=7.1 Hz, MeCHCOO), 1.25 (3H, d, J=7.1 Hz, $MeCHPh$, 1.70 (1H, d, J=4.9 Hz, OH), 2.52 (1H, dq, J=4.6, 7.1 Hz, $CHCOO$), 3.63 (3H, s,</u></u> MeO), 3.91 (1H, ddd, J=4.6, 4.9, 7.2 Hz, CHOH), 7.09~7.26 (5H, m, Ph) MS m/z: 223 (M+1)+, 205 (M-OH)+, 204 (M-HzO)+, 191 (M-MeO)+.

3-(3-Hydroxy-2-methyl-3-phenylpropionyl)-4-(S)-isopropyl-2-oxazolidone (16). The zinc enolate produced from $1d^{10}$ (62.0 mg, 0.24 mmol) in a similar manner to that described for the reaction of 1a with 3, was allowed to react with 3 $(28.6 \text{ µl}, 0.28 \text{ mmol})$ at -78°C for 20h. The usual work-up followed by purification with column chromatography afforded 16 as a diastereomeric mixture (63.4 mg, 93%). The ratio and optical purity of two diastereomers were determined by converting to the corresponding optically active methyl ester (17 and 18) (see the following experimental). IR (CHCls): 3550,2980,1779,1695,1496, 1450,1382,1300, 1192, 1140, 1120, 1102, 1082, 1057, 1012, 986, 953, 698 cm⁻¹. ¹H-NMR (CDCls): 0.6~1.3 (9H, m, Mex3), 2.0~2.5 (1H, m, CHMe2), 3.2 (1H, br, OH), 4.0~4.6 (4H, m, CH2, CHPh, CHCON), 4.74, 5.05, 5.10 (1H, each d, J=7.9, 5.1, 5.2 Hz, CHOH), 7.2~7.5 (5H, m, Ph). MS m/z: 291 (M+), 274 (M-OH)+, 239,185.

Methyl (2R,3R)-3-hydroxy-2-methyl-3-phenylpropionate (17) and its (2S,3R)-isomer (18). **A** solution of 2M sodium methoxide in MeOH (0.20 ml, 0.40 mmol) was added to a solution of 16 $(59.0 \text{ mg}, 0.20 \text{ mmol})$ in MeOH (0.8 ml) at -5°C . After stirring for 0.5 h, the mixture was diluted with 1M HCl (0.60 ml), saturated with NaCl, then extracted with CH2Cl2. The combined extracts were dried over anhydrous MgSO4 and concentrated in uacuo. The concentration residue was purified by column chromatography (SiOz, hexane-AcOEt 9:1), affording 17 as a colorless oil (16.4 mg, 42%) from the less polar fraction and 18 as a colorless oil (15.3 mg, 39%) from the more polar fraction. The combined yield of 17 and 18 was 81%. The ratio of 17 and 18 could be calculated as 52148 by the weights of the separated samples. The major product (17) showed α ¹⁰²⁰ +9.8° (c 0.79, CHCl₃). Since an optically pure sample of 17 had been reported to exhibit $\lbrack \alpha \rbrack^{20}$ +23.2° (c 3.2, CHCls),¹⁷⁾ the optical purity of 17 obtained here could be calculated as 43% ee. IR (neat): 3500,2950,1722,1450,1430,1350,1250,1198,1170, 1121, 1060, 1030, 992, 899, 770, 700 cm⁻¹. ¹H-NMR (CDCl₃): 1.13 (3H, d, J=7.0 Hz, MeCH), 2.79 $(1H, dq, J=4.4, 7.0 Hz, MeCH)$, 2.92 $(1H, d, J=3.3 Hz, OH)$, 3.66 $(3H, s, MeO)$, 5.09 $(1H, dd, d)$ $J=3.3, 4.4$ Hz, CHOH), 7.32 (5H, s, Ph). MS m/z: 194 (M)+, 177 (M-OH)+, 163 (M-MeO)+. The minor product (18) showed $\lbrack \alpha \rbrack$ \rm{D}^{20} -57.3° (c 0.99, CHCls). Since an optically pure sample of 18 had been report to exhibit $\lceil \alpha \rceil D^{25} - 57.1^{\circ}$ (c 0.123, CHCls),¹⁸⁾ the methyl ester (18) obtained here was found to be optically pure. IR (neat): 3500,2950,1730,1450,1432,1378,1250,1200,1168, 1120, 1052, 1020, 907, 765, 700, 608 cm⁻¹. ¹H-NMR (CDCl₃): 1.00 (3H, d, J=7.2 Hz, MeCH), 2.82 $(1H, dq, J=7.2, 8.6 Hz, MeCH$), 2.96 $(1H, d, J=4.4 Hz, OH)$, 3.72 $(3H, s, MeO)$, 4.74 $(1H, dd,$ J=4.4, 8.6 Hz, CHOH), 7.33 (5H, s, Ph). MS m/z: 194 (M+), 177 (M-OH)+, 163 (M-MeO)+, 121. The ¹H-NMR spectra of 17 and 18 were identical with those reported.^{14,18)}

3-[(Z)-l-Trimethylsilyloxyprop-l-enyl]-2-oxazolidone (19a). *Zinc* dust was added to a solution of la (25.0 mg, 0.11 mmol) in ds-THF (0.44 ml) at rt. After stirring for 0.4h at the same temperature, trimethylsilyl chloride (21.5 μ l, 0.17 mmol) was added. Insoluble materials were filtered off and the filtrate was subjected to measurement of 400 MHz ¹H-NMR spectrum without further purification. Since the 400MHz 1H-NMR spectrum showed that the enol silyl ether obviously contains a single isomer, the isomeric purity could be estimated as >97:3. The (Z)-configuration was anticipated for 19a by the mechanistic consideration detailed in the text. ¹H-NMR (400 MHz, ds-THF): 0.22 (9H, s, MesC), 1.56 (3H, d, J=6.8 Hz, MeCH), 3.68 (2H, m, CHsC), 4.24 (2H, m, CHzN), 4.70 (lH, q, J=6.8 Hz, CH).

4,4-Dimethyl-3-[(Z)-l-trimethylsilyloxypropop-l-enyll-2-cuazo lidone **(1Sb). The same treatments of lb ae** described for **la** gave a solution of **19b** in ds-THF. The isomeric purity and contiguration of 19b could be similarly determined as $>97:3$ and (Z) -form, respectively. ¹H-NMR (400MHz, ds-THF): 0.22 (9H, s, MesC), 1.28 (6H, s, Me2C), 1.60 (3H, d, J=6.8 Hz, MeCH), 3.96 (2H, s, CHzO), 4.68 (lH, q, J=6.8 Hz, CH).

References and Notes

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- 10. For the preparation methods of 1, see ref. 9.
- 11. In the Reformatsky reaction of la with *3,* a mixture of the cyclic carbamates (20 and 21,20:21=38:62) was obtained in 83% yield by intramolecular nucleophilic attack of the zinc alkoxide to the carbonyl group of 2-oxazolidone when the reaction tempera- 20 21 ture was raised to 0°C before work-up.

The stereochemistries of 20 and 21 could be assigned based on the coupling constants $(J5,6)$ observed in the ¹H-NMR spectrum of the mixtrure. Thus, $5.6\text{-}trans\text{-}isomer (21)$ showed its benzyl proton at δ 5.16 as a doublet with larger coupling constant (J5,6=12.2) Hz), while the cis-isomer (20) exhibited the corresponding doublet at δ 5.74 with smaller coupling constant $(J=4.0 \text{ Hz})$. ¹H-NMR (CDCl₃): 1.05 (3H, d, J=6.8 Hz, Me), 2.60 (1H, br, OH), 2.8~3.2 (1H, m, MeCH), 3.7~4.3 (4H, m, CH2x2), 5.16 (1Hx0.62, d, J=12.2 Hz, CHPh of 21), 5.74 (1Hx0.38, d, J=4.0 Hz, $CHPh$ of 20). This result obviously supported the assigned structures of 6a and ?a.

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